

COMMITTEE ON RESEARCH (COR)**Wednesday, October 9, 2013****10:00 – 11:30 AM****KL 232**

**Documents found at UCMCROPS/COR1314/Resources and
UCMCROPS/LibraryW.Group1314/Resources**

AGENDA

I. Chair's Report – *Ruth Mostern*

- A. President Napolitano's visit October 3
- B. Updates from October 8 Division Council meeting
- C. Updates from October 8 Senate-Administration Library Working Group meeting

II. Consent Calendar

- A. Approval of the agenda
- B. Approval of the September 25 meeting minutes Pg. 3

III. Limited Submission Cost Sharing Pg. 7

Background. The Office of Research emailed the Deans (attached) information about a limited submission opportunity. Faculty are asked to send their applications to their Deans by October 23; the Deans will then nominate certain proposals to go forward to Research Development Services by October 30. The NSF Major Research Instrumentation Program is a limited submission program whereby only three proposals per institution can move forward with submitting an application. If three proposals are submitted, at least one of the proposals must be for instrument development (i.e., no more than two proposals may be for instrument acquisition.) Please note that cost sharing at the level of 30% of the total project cost is required. The source of the cost-share will need to be identified at the pre-proposal stage. More information about the program and the solicitation for proposals can be found at: http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5260
Full Proposals are due Thursday, January 23, 2014.

Action requested: Faculty must identify the source of cost sharing prior to submitting their proposals. COR is being asked to help provide guidance to faculty

on this issue. Note: Director of Research Development Services Susan Carter can be contacted with any questions.

IV. UCORP Request

Pg. 9

The Office of Research and Graduate Studies (ORGS) has asked for UCORP's help in collecting stories illustrating the negative consequences to research from the federal funding sequestration. Please refer to the attached email from UCORP and ORGS. An email to all UCM faculty was sent by the Academic Senate office on October 1 requesting the submission of faculty's stories and corresponding data.

V. Other Business

Ongoing Business

Lab Safety – *Jason Hein*

ORU Policy – *Roummel Marcia*

Faculty Research/Travel/Shared Equipment Grants – *David Noelle*

Indirect Cost Return – *YangQuan Chen*

Committee on Research (COR)
Minutes of Meeting
September 25, 2013

Pursuant to call, the Committee on Research met at 10:00 am on September 25, 2013, in Room 232 of the Kolligian Library, Chair Ruth Mostern presiding.

I. Chair's Report

Chair Mostern related that a special Division Council meeting was held on September 17 to discuss the October 3 visit of new UC President Janet Napolitano. Division Council held a regular meeting on September 24 and the topics included: the joint Administration-Senate effort on strategic focusing and the decision to concentrate on research themes rather than disciplines; future town hall meetings for faculty to learn about this focusing effort; Project 2020; the draft course buyout policy on which Senate standing committees commented, and a visit from Interim Head Librarian who provided an update on space, budget, and future planning.

II. Consent Calendar

ACTION: Today's agenda and the minutes from the September 11 meeting were approved as presented.

III. Guest – Donald Barclay, Interim Head Librarian

Interim Head Librarian Barclay provided the committee members with an update on the Open Access policy. The policy was approved by the systemwide Senate in July 2013. Currently, it applies only to faculty; it is unknown at this time if the policy will eventually apply to post docs and graduate students. The implementation is being handled by the California Digital Library (CDL). While authors will not be "punished" for not uploading their work according to the Open Access policy, federal agencies are requiring publications to be accessed openly in certain circumstances. Faculty members have the option of obtaining a waiver or an embargo from their publishers if they do not want to upload their work at this time. The test campuses are UCSF, UCLA, and UCI who will implement the policy on November 1. In May 2014, the systemwide Senate will review the policy. By June 2014, the policy's harvesting tool will be in effect; this tool will send authors a notice for any articles they have published that are not currently uploaded according to the

Open Access policy. If authors have already published their work via a site like PubMed Central, then the requirement is considered fulfilled. For more detailed information, authors are encouraged to visit the eScholarship website at www.escholarship.org. Authors are welcome to start uploading their work now, before the November 1 date.

In response to an inquiry about retroactive publications, Barclay confirmed that the Open Access policy will only apply to work going forward from this point. If authors want to upload their old articles, they must work with their publishers. All scholarly publishers are being notified about the Open Access policy so they are prepared. Authors are encouraged to review the policy to determine whether the policy applies to conference proceedings and book chapters (in addition to peer-reviewed articles).

A COR member pointed out that the licensing options that authors must choose are confusing and faculty members would appreciate some guidance. Barclay responded that he will check with his contacts at the CDL on whether they can provide guidelines for faculty. Another COR member inquired whether an author can change the license terms after the fact. Barclay will research that question and inform COR. Some faculty members have expressed concern that uploading via CDL is a cumbersome process so assistance from the CDL would be helpful.

Barclay ended by asking faculty members to contact him with any questions or concerns.

IV. Campus Review Item – Smoke and Tobacco-Free Policy

COR members held a brief discussion about the tenets of the policy. There are nuances on which the committee would like clarity. In particular, the policy provides an exception when smoking is part of “medical research”. However, there is no provision for behavioral research. From a research point of view, COR members agreed that we do not want a restrictive policy that would impede a behavioral study conducted by faculty on campus.

ACTION: COR will draft a memo suggesting the exception language be changed to “research” rather than “medical research” to cover both medical and behavioral research. The memo will be circulated among the committee for approval and the

committee analyst will transmit to the Senate chair by the deadline of Tuesday, October 1.

V. Systemwide Request – UCORP

The UCOP Office of Research has asked for UCORP's assistance in collecting stories from faculty members at the ten campuses that illustrate the negative impact that sequestration is having on their research programs. VCR Traina mentioned that the UCM Office of Research has already publicized this request. COR members agreed that the Senate should play a role to encourage faculty to send their stories to UCOP.

ACTION: VCR Traina will ask the UCM Office of Research to send another request for stories. COR will draft a memo to DivCo requesting that the Senate send its own notification to faculty members.

VI. Ongoing Business – AY 13-14 Goals

Chair Mostern pointed out that COR has various goals to accomplish by the end of the academic year but it is imperative to set deadlines to ensure completion. Deadlines are needed for: lab safety issues, ORU policy formation, faculty grants criteria, and indirect cost return. COR member Hein mentioned that he formulated a draft charge for the establishment of a campus research safety committee in the last academic year but neither he nor VCR Traina have received an update from the Senate.

ACTION: A memo will be transmitted to CoC asking for an update on the campus research safety committee and requesting that the committee be populated in light of the many research issues on the horizon; member Marcia will provide a first draft of a new ORU policy for the next COR meeting on October 9, and member Noelle will be prepared to give his first draft of suggestions about the faculty grants criteria by the COR meeting on November 6. Member Chen was absent from today's meeting so his deadline for an indirect cost return policy will be determined at a later time.

VII. Lab Safety Issue

COR members discussed health care coverage for GSRs and liability insurance for PIs. The main issues are: 1) the need for clarity on the health care for GSRs in

addition to that of graduate students, undergraduate students, visiting researchers, and lab volunteers; and 2) the need for clarity on PIs' personal liability in case any of the aforementioned individuals experience an accident in the lab.

ACTION: VCR Traina will contact UC General Counsel Elisabeth Gunther to obtain clarity on these issues. Committee analyst will contact Acting Dean of Graduate Studies Chris Kello and Assistant Dean Concon.

There being no further business, the meeting adjourned at 11:15 am.

Attest: Ruth Mostern, Chair

Minutes prepared by: Simrin Takhar, Senate Senior Analyst

Simrin Takhar

Subject: FW: Limited Submission Opportunity: NSF Major Research Instrumentation Proposal

Importance: High

From: Susan Carter [<mailto:scarter3@ucmerced.edu>]

Sent: Monday, September 23, 2013 12:27 PM

To: list-ucmfaculty

Cc: Sara Mcdonnell; James Fickett; Samuel Traina; Mark Aldenderfer; Dan Hirleman; Juan Meza; Paul Brown; Martha Conklin; spo; Trevor Hirst; Christine Howe; D. B. Quan; Anthony Sali; Vanity Campbell

Subject: Limited Submission Opportunity: NSF Major Research Instrumentation Proposal

Importance: High

Dear Colleagues;

The **NSF Major Research Instrumentation Program** is a limited submission program whereby **only three proposals per institution** can move forward with submitting an application. **If three proposals are submitted, at least one of the proposals must be for instrument development (i.e., no more than two proposals may be for instrument acquisition.) Please note that cost-sharing at the level of 30% of the total project cost is required.** The source of the cost-share will need to be identified at the pre-proposal stage.

More information about the program and the solicitation for proposals can be found at: http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5260 Full Proposals are due **Thursday, January 23, 2014.**

If you are interested in applying for this opportunity, please complete a cover sheet, provide a one to three page project description, a two page curriculum vitae for the lead PI and a one page summary budget to your Dean or ORU Director no later than close of business on **Wednesday, October 23, 2013.** The summary budget and description should include information regarding the source of the cost-share.

The Dean or ORU Director should convey their nominees to Research Development Services (RDS) at rds@ucmerced.edu no later than close of business on **Wednesday, October 30th.** Deans and ORU Directors may each nominate up to three proposals. Please use the Limited Submission Cover Sheet available at: <http://researchdev.ucmerced.edu/files/public/LSCover.pdf> and required documents when moving a nominee forward for consideration.

You will be notified by **Friday, November 8th** as to whether your application can move forward.

More information about upcoming funding opportunities is available on the Research Development Services (RDS) website at: <http://researchdev.ucmerced.edu/research-funding-opportunities> Please let us know if you have questions and how RDS can help you in locating funding for your research.

Please let me know if you have any questions.

Best Regards;

Susan Carter

Susan Carter, J.D.
Director, Research Development Services
Office of Research
University of California, Merced
5200 N. Lake Road
Merced, CA 95343
209-228-4695

Simrin Takhar

Subject: FW: Request for UCORP's help soliciting for faculty stories of sequestration impact

From: University Committee on Research Policy Distribution List [UCORP-L@LISTSERV.UCOP.EDU] on behalf of Kenneth Feer [Kenneth.Feer@UCOP.EDU]

Sent: Friday, September 20, 2013 11:24 AM

To: UCORP-L@LISTSERV.UCOP.EDU

Subject: Request for UCORP's help soliciting for faculty stories of sequestration impact

Dear UCORP,

The Office of Research and Graduate Studies has asked your help in collecting stories illustrating the negative consequences to research from the federal funding sequestration. Follow the links below to share your experience, if applicable and if you desire. You may share the links widely.

-Ken

From: Jeff Hall

Sent: Tuesday, September 17, 2013 9:22 AM

To: Kenneth Feer

Cc:

Subject: Request for UCORP's help soliciting for faculty stories of sequestration impact

Ken,

I am writing to follow up on our call this morning regarding RPAC's project to collect stories from UC investigators who have suffered from federal sequestration-related cuts to their research funding. As with our recent successful collaboration with the Academic Senate that obtained a significant faculty response to the NSF Administrative Burden Survey, we are asking UCORP to collaborate with us again to invite UC faculty to contribute their sequestration stories.

RPAC has launched a new website to appeal directly to UC faculty to "Speak out about sequestration":

<http://www.ucop.edu/research-policy-analysis-coordination/research-sponsors-agreements/federal-government/sequestration/call-for-stories.html> . We hope to strengthen our research funding advocacy by using the investigators' anecdotes to illustrate how sequestration cuts are affecting their ability to research and innovate.

RPAC has also pulled together some general information resources about sequestration:

<http://www.ucop.edu/research-policy-analysis-coordination/research-sponsors-agreements/federal-government/sequestration/sequestration.html>

Please let me know if UCORP requires additional information about this project beyond that provided here in order to send the word out to UC campus investigators.

We will report the progress and results of this project on a future UCORP meeting. Thank you for your support and assistance.

best,

Jeff

Jeff Hall
Director, Research Policy Development
UCOP Office of Research & Graduate Studies / RPAC
510. 987-0688 / jeff.hall@ucop.edu