

**Committee on Research (COR)**  
**Minutes of Meeting**  
**September 25, 2013**

Pursuant to call, the Committee on Research met at 10:00 am on September 25, 2013, in Room 232 of the Kolligian Library, Chair Ruth Mostern presiding.

I. Chair's Report

Chair Mostern related that a special Division Council meeting was held on September 17 to discuss the October 3 visit of new UC President Janet Napolitano. Division Council held a regular meeting on September 24 and the topics included: the joint Administration-Senate effort on strategic focusing and the decision to concentrate on research themes rather than disciplines; future town hall meetings for faculty to learn about this focusing effort; Project 2020; the draft course buyout policy on which Senate standing committees commented, and a visit from Interim Head Librarian who provided an update on space, budget, and future planning.

II. Consent Calendar

**ACTION:** Today's agenda and the minutes from the September 11 meeting were approved as presented.

III. Guest – Donald Barclay, Interim Head Librarian

Interim Head Librarian Barclay provided the committee members with an update on the Open Access policy. The policy was approved by the systemwide Senate in July 2013. Currently, it applies only to faculty; it is unknown at this time if the policy will eventually apply to post docs and graduate students. The implementation is being handled by the California Digital Library (CDL). While authors will not be "punished" for not uploading their work according to the Open Access policy, federal agencies are requiring publications to be accessed openly in certain circumstances. Faculty members have the option of obtaining a waiver or an embargo from their publishers if they do not want to upload their work at this time. The test campuses are UCSF, UCLA, and UCI who will implement the policy on November 1. In May 2014, the systemwide Senate will review the policy. By June 2014, the policy's harvesting tool will be in effect; this tool will send authors a notice for any articles they have published that are not currently uploaded according to the

Open Access policy. If authors have already published their work via a site like PubMed Central, then the requirement is considered fulfilled. For more detailed information, authors are encouraged to visit the eScholarship website at [www.escholarship.org](http://www.escholarship.org). Authors are welcome to start uploading their work now, before the November 1 date.

In response to an inquiry about retroactive publications, Barclay confirmed that the Open Access policy will only apply to work going forward from this point. If authors want to upload their old articles, they must work with their publishers. All scholarly publishers are being notified about the Open Access policy so they are prepared. Authors are encouraged to review the policy to determine whether the policy applies to conference proceedings and book chapters (in addition to peer-reviewed articles).

A COR member pointed out that the licensing options that authors must choose are confusing and faculty members would appreciate some guidance. Barclay responded that he will check with his contacts at the CDL on whether they can provide guidelines for faculty. Another COR member inquired whether an author can change the license terms after the fact. Barclay will research that question and inform COR. Some faculty members have expressed concern that uploading via CDL is a cumbersome process so assistance from the CDL would be helpful.

Barclay ended by asking faculty members to contact him with any questions or concerns.

#### IV. Campus Review Item – Smoke and Tobacco-Free Policy

COR members held a brief discussion about the tenets of the policy. There are nuances on which the committee would like clarity. In particular, the policy provides an exception when smoking is part of “medical research”. However, there is no provision for behavioral research. From a research point of view, COR members agreed that we do not want a restrictive policy that would impede a behavioral study conducted by faculty on campus.

**ACTION:** COR will draft a memo suggesting the exception language be changed to “research” rather than “medical research” to cover both medical and behavioral research. The memo will be circulated among the committee for approval and the

committee analyst will transmit to the Senate chair by the deadline of Tuesday, October 1.

#### V. Systemwide Request – UCORP

The UCOP Office of Research has asked for UCORP's assistance in collecting stories from faculty members at the ten campuses that illustrate the negative impact that sequestration is having on their research programs. VCR Traina mentioned that the UCM Office of Research has already publicized this request. COR members agreed that the Senate should play a role to encourage faculty to send their stories to UCOP.

**ACTION:** VCR Traina will ask the UCM Office of Research to send another request for stories. COR will draft a memo to DivCo requesting that the Senate send its own notification to faculty members.

#### VI. Ongoing Business – AY 13-14 Goals

Chair Mostern pointed out that COR has various goals to accomplish by the end of the academic year but it is imperative to set deadlines to ensure completion. Deadlines are needed for: lab safety issues, ORU policy formation, faculty grants criteria, and indirect cost return. COR member Hein mentioned that he formulated a draft charge for the establishment of a campus research safety committee in the last academic year but neither he nor VCR Traina have received an update from the Senate.

**ACTION:** A memo will be transmitted to CoC asking for an update on the campus research safety committee and requesting that the committee be populated in light of the many research issues on the horizon; member Marcia will provide a first draft of a new ORU policy for the next COR meeting on October 9, and member Noelle will be prepared to give his first draft of suggestions about the faculty grants criteria by the COR meeting on November 6. Member Chen was absent from today's meeting so his deadline for an indirect cost return policy will be determined at a later time.

#### VII. Lab Safety Issue

COR members discussed health care coverage for GSRs and liability insurance for PIs. The main issues are: 1) the need for clarity on the health care for GSRs in

addition to that of graduate students, undergraduate students, visiting researchers, and lab volunteers; and 2) the need for clarity on PIs' personal liability in case any of the aforementioned individuals experience an accident in the lab.

**ACTION:** VCR Traina will contact UC General Counsel Elisabeth Gunther to obtain clarity on these issues. Committee analyst will contact Acting Dean of Graduate Studies Chris Kello and Assistant Dean Concon.

There being no further business, the meeting adjourned at 11:15 am.

Attest: Ruth Mostern, Chair

Minutes prepared by: Simrin Takhar, Senate Senior Analyst