

**Committee on Research (COR)**  
**Wednesday, November 30, 2016**  
**11:00-12:00 PM KL 362**  
**Documents available at [UCM BOX](#)**

Pursuant to call, the Committee on Research met at 11:06 AM on November 30, 2016 in Room 362 of the Kolligian Library, Chair David Noelle presiding.

**I. Chair's Report – David Noelle**

- a. Meeting of the Division – November 28, 2016
  - i. Primary focus of the discussion during this meeting dealt with a coming proposal from CAPRA about the hiring of new faculty. CAPRA will be incorporating feedback they received from School's Executive Committees, and Chair Noelle encouraged them to make the feedback transparent and available to faculty.
- b. UCORP – November 14, 2016
  - i. Member Scheibner reported that there were many concerns voiced at the UCORP meeting, with regards to the state of research and education. The group also discussed the communication that was distributed by the UC President's office, with some discussion concerning the degree to which the message presented was over-reactive. VC-ORED Traina added that the message was written to provide reassurance to undocumented undergraduates, and there are already conversations in Washington whether or not enforcement will be required to keep campuses eligible for federal research funding.
  - ii. UCORP has requested information about how we handle ORUs, with concern that some campuses do not have established mechanisms for dis-establishment.
  - iii. There will be a retreat planned for February-March 2017 to discuss "International Thinking Day". The retreat will address the international footprint of the UC and what programs/activities make sense on campuses and at the UC level.
  - iv. Joint International Degree Programs were discussed by Arthur Ellis.
  - v. An expansion of the UC International Education Committee was discussed.
  - vi. Professional degrees, and the logistics of these programs, including compensation to instructors, was discussed.
  - vii. In anticipation of the new Federal government administration, academic leadership and committees were encouraged to decide on research principles that are important to each group, and high-level UC affiliates were encouraged to engage Congress and advocate for UC needs.
  - viii. The topic of MRUs was discussed, including why an entity thinks it should be an MRU. It is possible that some MRUs should evolve into ORUs. VC-ORED Traina described MRUs to the committee, and noted that funding from UCOP has decreased for these units over time.
  - ix. [Kimberly Budhil](#), UC Vice President for National Laboratories, discussed issues surrounding the renewal of contracts for the labs. Importantly, there have been review failures in terms of security and waste management issues. UC can re-apply, and it may do so with the goal of becoming the sole organization, without commercial partners, to oversee the labs. There are a number of defense

contractors that are expected to bid for this role, as well as other universities. There was some conversation about the logistics and resources associated with the contracts. Some concerns were raised that the incoming US presidential administration may not appropriately respect that historical service missions and ethical issues surrounding the national labs, and the position of the new administration could affect the decision of the UC to be involved with the labs.

- x. VC-ORED Traina asked if there was any UCORP discussion about split appointments with the national laboratories. UC Davis and Berkeley already have them, and there is a desire to do so systemwide, but there was no specific conversation about them at this meeting.
- c. 2020 Project-Building 2A Review Update – November 15, 2016
  - i. Member Scheibner reported that during the second round of meetings, there was a good representation of units. The next meetings are being scheduled, with a focus on the computational research building.
- d. LASC Meeting – November 8, 2016
  - i. Member Scheibner reported that during the last LASC meeting, the Max Planck Society's [Expression of Interest](#) to move away from a subscription model to an open-access model at an international level was discussed. LASC has asked for COR to support the Expression of Interest initiative. Chair Noelle would like to have more time for COR to review this request, and member Saha will convey this to DivCo.

## II. Consent Calendar

- a. The November 2, 2016 Minutes were approved as presented.
- b. The November 30, 2016 Agenda was approved as presented.

## III. Export Control Regulations – VC-ORED Traina

- a. The memo sent from VC-ORED Traina's office communicated to the faculty important information concerning recent inquiries from federal officials into potential violations of deemed export control regulations. The COR membership was satisfied with the information conveyed. At this time, two UCM faculty members have volunteered to meet with investigators from the Department of Homeland Security, and those visits will be coordinated with appropriate campus officers. Chair Noelle requested that the COR members monitor the understanding of this situation by their faculty colleagues. If there appears to be confusion, revisiting this communication may be added to a future COR meeting agenda. A member asked if graduate students had been informed about this situation, and VC-ORED Traina indicated that this has not happened. The faculty are encouraged, however, to indicate if they see value in a communication being written and delivered to this group. VC-ORED Traina indicated that he will provide any updates, as he receives them.

## IV. Sierra Nevada Research Institute (SNRI) ORU Review Committee

- a. The Committee on Committees continues to work to find volunteers to make up the review team, and, due to delays, the review will need to be scheduled for Spring 2017, at the earliest. There was some concern that the data in the self-study was becoming obsolete due to these delays. This item will remain on the COR agenda.

## V. [Survey of Faculty Concerning Staff Support for Extramural Funding Efforts](#)

- a. An initial result report has been distributed to COR for their review. Chair Noelle asked for a committee member to volunteer to coordinate the generation of a summary of the survey results, which will eventually be distributed to campus faculty. Member Saha volunteered to play this role, with further discussion planned for early in Spring 2017. VC-ORED Traina noted that the Chancellor is creating a new workgroup on workforce planning, and this will include consideration of support staff for faculty academic and research activities. The survey summary is expected to be helpful in this endeavor.
  - b. The COR Analyst will work on producing informative visualizations of the survey data, as requested by Chair Noelle, and the result will be circulated when available.
  
- VI. **Recommendations Regarding Faculty Start-Up and Incidental Expense Funds**
  - a. This item will be added to the agenda for the next COR meeting.
  
- VII. **Center for the Humanities ORU Proposal**
  - a. Chair Noelle requested a volunteer to perform an initial review of the proposal, but no member volunteered during the meeting. This request will be made again in Spring.
  - b. COR Analyst will formally request letters of consideration from SSHA Dean Robbins and from VC-ORED Traina.
  
- VIII. **ORU Proposal Initiation Issues**
  - a. This item will be added to the agenda for the next COR meeting.
  
- IX. **Purchasing and Research – Ramen Saha**
  - a. Due to a lack of time, this topic will be added to a future COR agenda.
  
- X. **Identify AY 2016-2017 High Priority Issues for COR**
  - a. Due to a lack of time, this topic was postponed until a future COR meeting.
  
- XI. **Campus Review Items**
  - a. **Draft Definition of Student Success**
    - i. COR discussed the draft definition, finding the document light on content. There was no reference in the document to “how” students become successful, only that they “are” successful. COR’s opinion will be discussed via email.
  
- XII. **Systemwide Review Items**
  - a. **Proposed Revisions to Senate Bylaw 182 - UCIE**
    - i. COR approved the expansion of duties by UCIE, and a memo will be transmitted to the Senate Chair.
  
  - b. **Professional Degree Supplemental Tuition (PDST) Policy**
    - i. This item will be discussed via email.
  
  - c. **Presidential Nondiscrimination Policy and APM 015**
    - i. This item will be discussed via email.
  
- XIII. **Upcoming Business**
  - a. Future Funding of Senate Faculty Grants Program
  - b. Faculty Start-Up Funds & Other Campus Sources of Research Support

- c. ORU Proposal - Center for Human Adaptive Systems and Environments (CHASE)
- d. CCGA Proposals – MIST
- e. Blum Center reorganization
- f. Administering the Senate Faculty Grants Program
- g. Monitoring Progress of the 2020 Project

**XIV. Other Business**

- a. Chair Noelle welcomed Professor Anand Subramaniam to the COR membership.

There being no further business, the meeting was adjourned at 12:04 PM.

Attest:

David Noelle, COR Chair